

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steve Yao Art Unit: 2609
Serial No.: 10/800,406 Examiner: Shaheda Abdin
Filed : March 12, 2004
Title : MONITORING MECHANISMS FOR OPTICAL SYSTEMS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Kindly accept this Information Disclosure Statement under Rule 97(c)(2). Please apply the rule 17(p) certification fee in the amount of \$180 to deposit account 06-1050.

Respectfully submitted,

Date: September 12, 2007

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 12361-028001	Application No. 10/800,406
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Steve Yao	
		Filing Date March 12, 2004	Group Art Unit 2609

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2005/0200941	09/2005	Yao			
	AB	2006/0023987	02/2006	Yao			
	AC	5,835,270	11/1998	Urino et al.			
	AD	6,417,948	07/2002	Chowdhury et al.			
	AE	6,542,650	04/2003	Khosravani et al.			
	AF	6,567,167	05/2003	Chou et al.			
	AG	6,643,064	11/2003	Huang et al.			
	AH	7,027,198	04/2006	Yao			
	AI	7,218,436	05/2007	Yao			

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AJ	Azzam, R.M.A., "Photopolarimeter using two modulated optical rotators", <i>Optics Letters</i> , 1(5):181-183, November 1977.
	AK	Chipman, R.A., <u>Handbook of Optics, Vol. II</u> , Chapter 22 - Polarimetry, 2 nd Ed. M. Bass ed., McGraw-Hill, New York, 1995.
	AL	Collett, E., <u>Polarized Light in Fiber Optics</u> , Chapters 15-16, The PolaWave Group, New Jersey, 2003.
	AM	Compain, E., et al., "General and Self-Consistent Method for the Calibration of Polarization Modulators, Polarimeters, and Mueller-Matrix Ellipsometers", <i>Applied Optics</i> , 38(16):3490-3502, June 1999.
	AN	De Martino, A., et al., "Optimized Mueller polarimeter with liquid crystals", <i>Optics Letters</i> , 28(8):616-618, April 2003.
	AO	Goldstein, D.H., et al., "Error analysis of a Mueller matrix polarimeter", <i>J. Opt. Soc. Am. A</i> , 7(4):693-700, April 1990.
	AP	Goldstein, D.H., <u>Polarized Light</u> , Chapter 29, 2 nd Ed., Marcel Dekker, New York, 2003.
	AQ	Wang, S.X., et al., "Fast wavelength-parallel polarimeter for broadband optical networks", <i>Optics Letters</i> , 29(9):923-925, May 2004.
	AR	Williams, P., "Rotating-Wave-Plate Stokes Polarimeter for Differential Group Delay Measurements of Polarization-Mode Dispersion", <i>Applied Optics</i> , 38(31):6508-6515, November 1999.
	AS	Yan, L.-S., et al., "High-Speed and Highly Repeatable Polarization-State Analyzer for 40-Gb/s System Performance Monitoring", <i>IEEE Photonics Technology Letters</i> , 18(4):643-645, February 2006.
	AT	Yao, X. S., et al., "Highly repeatable all-solid-state polarization-state generator", <i>Optics Letters</i> , 30(11):1324-1326, June 2005.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	